I Case #	Date Opened	Date Elapsed Time	Reason Closed	AND TEST OF THE STATE OF THE ST	A22 N. Lotele	Topo 200 Red of Hinds of	The Part of the Pa	Sed Production of the Solid So	dirities of the state of the st	arketing	RUDIT	Alleged Violation
68724	3/13/17	179				x						Alleged unlicensed activity/RESPA Violation
69214	6/2/17	98							х			Consumer lost home to foreclosure
69269	6/14/17	86						x				Cosponsoring an event of a client/providing gifts
69295	6/19/17	81								Х		Desktop Audit
69387	7/5/17	65								х		Desktop Audit
69388	7/5/17	65				х						Alleged unlicensed activity
69623	8/16/17	23										
69654	8/22/17	17										
69689	8/30/17	9										

According														Ologea Ilivestigation Gaillina	<u>, , , , , , , , , , , , , , , , , , , </u>							
March Marc	I Case #		Date Closed	Elapsed Time	Reason Closed	31A J. 202. Tentes	neurole 31 Avi	Me itendes	Sylers 31A.2	ordenning tale	Si Ledi A	Stringilor SAN	Soldon Strice	SOLOR, Secisar Select Str. Solor	Diegii San	Sad 3 anual	Controlled St. P. Controlled S	Transport of the state of the s	Por Maria	30 untain	inger 250 standards 550 standa	Alleged Violation
April Apri	66299	12/8/15	2/10/16	64	No Business					Χ												Alleged Unlicensed Business
Early Captor Ca	66300	12/8/15		64	No Business					Х												Alleged Unlicensed Business
April Control Contro										Х												Alleged Unlicensed Business
March Marc				+						X												Alleged Unlicensed Business
March Marc										X												Alleged Unlicensed Business
ESPAN 1997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997 2997										X				 								Alleged Unlicensed Business
Section Color Co										X												Alleged Unlicensed Business
Alleged U. 2019 2019 2019 2019 2019 2019 2019 2019										, , , , , , , , , , , , , , , , , , ,			X				X					Alleged Co-advertising
Section Sect												Х	Х	X			,					Alleged: conducting escrow without issuance of title insurance, charging un-filed fees, conversion of funds to personal ues, theft of funds due the agency
Section Sect	66691	2/11/16	3/1/16	19	Audit no Issues																X	Audit
1965 1976 3276 56 No Business X	66692	2/11/16	3/1/16	19	Audit no Issues																X	Audit
Section Sect	66450	1/6/16	3/2/16	56	No Business					Χ												Alleged Unlicensed Business
Section Sect	66451	1/6/16	3/2/16	56	No Business					Х												Alleged Unlicensed Business
Section Control Sect	66452	1/6/16	3/2/16	56	No Business					Х												Alleged Unlicensed Business
Second 12/816 37/16 27 Scase 3731 Second 3/42	66453	1/6/16	3/2/16	56	No Business					Х												Alleged Unlicensed Business
Bestal 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016 2016	66454	1/6/16	3/2/16	56	No Business					Х												Alleged Unlicensed Business
120/15 314/16 97 Exacus 9742 X Alleged U Agencies 34/16 13 PubLOA Alleged U Agencies Susues rar unauthoriz failure to resupervise materials, exceeding an open h than that verifies Agencies A	66664	2/9/16	3/7/16	27	E-Case 3731																	Failure to appoint and terminate producers 31A-23a-115
September Sept	66298	12/8/15	3/14/16	97	E-case 3742					Х												Alleged Unlicensed Business
1	66813	3/1/16	3/14/16	13	PubLOA																	Agencies not apptd
66672 2/10/16 4/5/16 55 No Business X X X X X X X X X	65743			233																		
66672 2/10/16 4/5/16 55 No Business No Busines				4					V					X								Failure to pay assessment when due.
Case closed Pending District Court Case Case closed Pending District Case Case closed Pending District Court Case Case closed Pending District Case closed Pending District Case Pending District			+				1					-										Alleged Unlicensed Business
65272 4/13/15 4/11/16 364 Pending District Court						+	+					+										Alleged Unlicensed Business Alleged Unlicensed Business
65272 4/13/15 4/11/16 364 Pending District Court Case	000/4	Z/ 1U/ 10	4/3/10	55		-	1		^			1										Allegeu Offilletiaeu Dualifeaa
66673 2/10/16 4/11/16 61 PriLOA X Alleged Use 66231 11/19/15 4/28/16 161 Suspended X Insurer lial their agen 66817 3/2/16 5/2/16 61 Completed Audit X Audit	65272	4/13/15	4/11/16	364	Pending District									X					Х			Unfair claims handling, trust account?
66231 11/19/15 4/28/16 161 Suspended their agent 66817 3/2/16 5/2/16 61 Completed Audit X Audit	66670	2/10/16	4/11/16	61	PriLOA				Х													Alleged Unlicensed Business
66231 11/19/15 4/28/16 161 Suspended their agents 66817 3/2/16 5/2/16 61 Completed Audit X Audit	66673	2/10/16	4/11/16	61	PriLOA				Х													Alleged Unlicensed Business
	66231	11/19/15	4/28/16	161	Suspended									X								Insurer liability for funds deposited by their agent
66819 3/2/16 5/2/16 61 Completed Audit X Audit	66817	3/2/16	5/2/16	61	Completed Audit																Х	Audit
	66819	3/2/16	5/2/16	61	Completed Audit																X	Audit

Page 2 9/7/2017

													Joseu IIIvesiigalii	on Gannia y	, itopo						
I Case #	Date Opened	Date Closed	Elapsed Time	Reason Closed	S. K. S.	202 Red 5 20 20 20 20 20 20 20 20 20 20 20 20 20	ediles and 31A.	The Tree of the Control of the Contr	Anderwiting 21/2	Sylicores Strictory of Strictor	Service Servic	Bar Dai speci	ared: 12 desid.	A.P. 230 AD. TUBS TURNS	Oren. Dioc.	Jecords Annual 3 Ar. 25	Jegor Andria drus. Mandalentins.	De Dradities 1 16 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Street, 520 Singer Victoria in 5 5505 350 500 500 500 500 500 500 500	ASOLA SECONDA	Alleged Violation Referred to Citatinal Alleged Violation
66247	11/23/15	5/9/16	168	E-case 3774																	Dual licensing violation
67020	4/5/16	5/10/16	35	No Business				Х													Alleged Unlicensed Business
67022	4/5/16	5/10/16	35	No Business				Х													Alleged Unlicensed Business
67025	4/5/16	5/10/16	35	No Business				Х													Alleged Unlicensed Business
67021	4/5/16	5/11/16	36	PriLOA				X													Alleged Unlicensed Business
67023	4/5/16	5/11/16	36	PriLOA				Х													Alleged Unlicensed Business
67024	4/5/16	5/11/16	36	PriLOA				X													Alleged Unlicensed Business
67217	5/13/16	5/13/16	0	E-Case 3777 Informal	Х										Х						Failed to file Annual Report and Controlled Business Repot
67241	5/19/16	5/13/16	477	PubLOA											Х						Failure to file Annual Report and Controlled Business Report
67214	5/13/16	5/19/16	6	Informal Action E-Case 3774 PubLOA	Х										X						Failed to file Annual Report and Controlled Business Repot
67216	5/13/16	5/19/16	6	Informal Action E-Case 3778 PubLOA	Х										Х						Failed to file Annual Report and Controlled Business Repot
67150	5/2/16	6/1/16	30	Closing Business																Х	Audit
67151	5/2/16	6/1/16	30	Clean Audit																Х	Audit
67198	5/10/16	6/14/16	35	E-Case 3788																7	Failure to post CE in required timeframe
67258	5/23/16	6/23/16	31	PriLOA									х								Failure to file Annual Report and Controlled Business Report
67260	5/23/16	6/23/16	31	PubLOA									Х								Failure to file Annual Report and Controlled Business Report
67263	5/23/16	6/23/16	31	PubLOA									X								Failure to file Annual Report and Controlled Business Report
66171	11/9/15	7/28/16	262	No error by Agency				>	(Alleged Unlicensed Business
67456	7/12/16	8/15/16	34	No unlicensed activity				>	(Alleged Unlicensed Business
67458	7/12/16	8/15/16	34	No unlicensed activity				>	(Alleged Unlicensed Business
67459	7/12/16	8/15/16	34	No unlicensed activity				>	(Alleged Unlicensed Business
67460	7/12/16	8/15/16	34	No unlicensed activity				>	(Alleged Unlicensed Business
67205	5/11/16	8/22/16	103	Fixed name with UID and DOC																X	Audit
65922	9/11/15	8/31/16	355	E-Case 3823				>	(Alleged Unlicensed Business
65039	2/26/15	9/1/16	553	E-Case 3822						X					Х	Х					Overcharging pass-thru fees, agency
67367	6/20/16			Unfounded												Х	Х				Relationship with a Real Estate Brokerage
67457	7/12/16	9/6/16	56	E-Case 3824) \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \													Alleged Unlicensed Business
67461	7/12/16	9/6/16	56	PriLOA				\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \													Alleged Unlicensed Business
67510	7/20/16	10/11/16	83	PriLOA		x					X										Alleged forgery of a document/alteration of an original document after signature and notary.

Page 3 9/7/2017

													Closed investigation Summary	y Kepoi	ı t						
I Case #	Date Opened	Date Closed	Elapsed Time	Reason Closed	31 R. 2. 2021. 31 R. A. A.	Se duditied 1	insulate And States	And Irant.	tules 31 A 230	iting days	STANDARD STA	Constanting of the Constant of	31 R. 230. 201. Special red. 31 R. 230. AQ. Linds and St.	SAR SAR SAR	Jacolds Janual	Todok Outroll	ed Dus. Houdulertins.	to of solidos	Stront. 25	Drivacy Version 52237.3. eschon charactes introd	Chos Stos. Alleged Violation Referred to Criminal
67511	7/20/16	10/11/16	83	PriLOA								X									Alleged forgery of a document/alteration of an original document after signature and notary.
67630	8/16/16	11/2/16	78	No Violation											Х						Sponsoring, co-sponsoring Ads in a publication
67631	8/16/16	11/2/16	78	No Business					Х												Alleged unlicensed activity
67632	8/16/16	11/7/16	83	No Business					Х												Alleged unlicensed activity
67753	9/6/16	11/7/16	62	No Business					Х												Alleged unlicensed activity
67759	9/6/16	11/7/16	62	Fees Paid								Х									Alleged Title Agcy failed to transfer funds to HOA
68047	11/2/16	11/8/16	6	no issue																	Property line dispute between owner and neighbor
67750	9/6/16	11/9/16	64	PriLOA					X												Alleged unlicensed activity
67751	9/6/16	11/9/16	64	PriLOA					X												Alleged unlicensed activity
67752	9/6/16	11/9/16	64	PriLOA					X												Alleged unlicensed activity
67937	10/11/16	12/5/16	55																		Found to be a qualifier for 3 agency, limit is 2
67508	7/20/16	12/12/16	145	No issue	Х																Licensee knowingly paid compensation to a licensed real estate broker on two different employment occasions
67940	10/11/16	12/12/16	62	not intended for redistribution																	Provided items of values with Ins Co name on it for a real estate auction.
67274	5/25/16	12/19/16	208	E-Case 3845		Х		Х							х						Conducting business with unlicensed agencies, conducting escrow with unlicensed individual producers
68365	1/5/17	1/12/17	7	No Violations		Х)	(Alleged no office in Utah
67939	10/11/16	1/17/17	98	Employee Terminated									x								Has employee who is dual licensed
68113	11/14/16	1/10/17	57	No Violations)											Alleged unlicensed activity
68114	11/14/16	1/10/17	57	No Violations)											Alleged unlicensed activity
68115	11/14/16	1/10/17	57	No Violations						,											Alleged unlicensed activity
68116	11/14/16	1/10/17	57	No Violations						,											Alleged unlicensed activity
68117	11/14/16	1/11/17	58	PriLOA																	Alleged unlicensed activity
68375	1/9/17	1/12/17	3	No Violations		Х)											Alleged no office in Utah
68404	1/12/17	1/12/17	0	No Violations			-			,			X								Alleged delay in depositing funds
68229	12/5/16	1/3/17	29	Audit/no issues					.,		,	_									Allogod unliconcod cotivity
68436 68418	1/18/17	1/30/17	12	No Violations Unfounded					X	,	<u> </u>										Alleged unlicensed activity
00418	1/13/17	1/17/17	4									+	X	+							Alleged missapropriation of funds Complainant told that all liens on
68091	11/16/17	2/16/17	-69	Referred to Fraud												Х					property would be paid off
68238	12/7/16	2/15/17	70	No Violations)											Alleged unlicensed activity
68225	12/5/16	2/15/17	72	Audit/no issues																	Audit
68224	12/5/16	2/21/17	78	Audit/no issues																	Audit

Page 4 9/7/2017

												Josed	Investiga	tion Su	mmar	у керо	rt				
I Case #	Date Opened	Date Closed	Elapsed Time	Reason Closed	31R.2202. redises rediles	autors of the state of the stat	Tingath. It	The Mest Starting of the Start of Start	dicense N. 2387, OT	character liberese 1230, 12: 16: 235	ation specif	a to di sono d	design Marksit	So ittle estron	S. Frust fund	3/Kr. Stor.	Sard Sarding S	31 P. 30, 103, Handher His. 2592, St. 2592, St	Latering Chings Dinacy 2500 Joint State of State	Legy standards of the S	Alleged Violation Alleged Violation
68239	12/17/17	2/15/17	-100	No Violations					Х												Alleged unlicensed activity
68240	12/7/16	2/15/17	70	No Violations					Х												Alleged unlicensed activity
68241	12/7/16	2/21/17	76	PriLOA																	Alleged unlicensed activity
68242	12/7/16	2/15/17	70	No Violations					Х												
68243 68414	12/7/16 1/12/17	2/15/17 2/14/17	70 33	No Violations No Violations					X												
68423	1/17/16	2/14/17	394	New Employee					<u> </u>			V									
				trained								Х									
68237	1/12/17	2/14/17	33	No Violations					Х												No the demonstrate and a sector of Association
67938	10/11/16	3/23/17	163	Audit Completed							X									Х	No Underwriter appointed/Audit
68244	12/7/16	3/14/17	97	E-Case #3871				X													Alleged unlicensed activity
68507	2/1/17	3/1/17	28	Audit completed																Х	Audit
68508	2/1/17	3/7/17	34	Audit Completed																x	Audit
68509	2/1/17	3/3/17	30	Audit Completed																х	Audit
68512	2/1/17	3/1/17	28	E-Case #3866				Х													Dual License
68513	2/1/17	3/1/17	28	combined with I-				х													Dual License
68530	2/7/17	3/23/17	44	case 68512 Audit Completed																X	Audit
68540	2/8/17	3/1/17	21	No Violations							X										No agency association
68541	2/8/17	3/16/17	36	E-Case #3872							X										Agent no associated to agency
68403	1/12/17	4/7/17	85	No Violations																	Geo Survey not shown on title report
68423	1/17/17	4/5/17	78	PriLOA					Х												Alleged unlicensed activity
68424	1/17/17	4/5/17	78	No Violations					Х												Alleged unlicensed activity
68425	1/17/17	4/5/17	78	No Violations					Х												Alleged unlicensed activity
68426	1/17/17	4/5/17	78	No Violations					Х												Alleged unlicensed activity
68506 68511	2/1/17	4/10/17	68	E-Case #3878																X	Audit Audit
68716	3/10/17	4/4/17 4/5/17	62 26	Audit/no issues No Violations					X											X	Alleged unlicensed activity
68611	2/21/17	5/2/17	70	Publication								Х									Alleged advertising with a client
				removed Publication											+						+ + -
68612	2/21/17	5/1/17	69	removed Publication								Х			_						Alleged advertising with a client
68613	2/21/17	5/1/17	69	removed Publication								Х									Alleged advertising with a client
68614	2/21/17	5/1/17	69	removed								Х									Alleged advertising with a client
68617	2/22/17	5/1/17	68	Publication removed								Х									Alleged advertising with a client
68618	2/22/17	5/1/17	68	Publication removed								Х									Alleged advertising with a client
68619	2/22/17	5/1/17	68	Publication removed								х									Alleged advertising with a client
68620	2/22/17	5/1/17	68	Publication removed								х									Alleged advertising with a client
68740	3/13/17	5/11/17	59	information was removed											х						using an unapproved name
68741	3/15/2017	5/11/2017	57	information was removed											х						using an unapproved name
68745	3/16/2017	5/11/2017	56	item was removed								х									supplying items of value at a clients event
		•		•			<u>1</u>	1 1				<u> </u>	<u> </u>	1		<u> </u>	<u> </u>	1 1	1 1	1	

Page 5 9/7/2017

													Jioseu	investigatio	Jii Sullilliai y	y ixepoi	ι						
I Case #	Date Opened	Date Closed	Elapsed Time	Reason Closed	312.22	Si S	rediles 102 draifed in	A. A. Toto of title A. A. A. T. T. A. O. O. A. O. O. A	Jude Milling Stay 31 A. 2.	and character	Serving Servin	Sparior Spario	and again	design untail	R. 230 A 230 A 1.	Oron Oros Andro	Records January Str. 25	asos, controlled bus, transdukent ins.	2590 ASOCIA	athering claims proposition as a second contract of the contra	isotopic of the state of the st	destino de la companya de la company	Alleged Violation
68830	3/27/2017	5/19/2017	53	No Violations														х					signing policies without examining
68999	4/20/2017	5/9/2017	19	trained new emplployee									х										charged less than filed rates
69037	5/1/2017	5/15/2017	14	No Violations														х					less and excepted part of the legal description
69043	5/2/2017	5/9/2017	7	No violations																х			sharing consumer information with investment company
69097	5/15/2017	5/18/2017	3	No Violations														Х					Wrong legal description insured
69115	5/17/2017	5/26/2017	9	No Violations									х										Alleged unlicensed activity
69116	5/17/2017	+	8	No Violations									Х										Alleged unlicensed activity
69125			3	No Violations																	Х		Title co. owes refund to seller
69072	5/9/2017	5/10/2017	1	No Violations									Х										Alleged unlicensed activity
68572	2/14/2017	6/14/2017	120	seller filed lawsuit										х									conducted closing and didn't clear title or issue policy
	3/15/2017			PriLOA									х										Advertising in a publication of a client
68900	4/4/2017	6/5/2017	62	No Violations					х														Alleged unlicensed activity
68901	4/4/2017	6/5/2017	62	NoViolations					Х														Alleged unlicensed activity
68902	4/4/2017	6/5/2017	62	No Violations					Х														Alleged unlicensed activity
68903	4/4/2017	6/5/2017	62	No Violations					X														Alleged unlicensed activity
68904	4/4/2017	6/19/2017	76	No Violations					X		-										+	1	Alleged unlicensed activity
68905	4/3/2017	6/5/2017	63	No Violations Filed small										X								+ +	Lien not paid off
68957	4/12/2017	6/14/2017	63	claims suit										Х									Escrowed funds not released
68997	4/20/2017	6/13/2017	54	agency disolved										х									Agency didn't forward funds/forms to IRS
69075	5/10/2017	6/26/2017	47	No Violations														х					Attempt to cloud title
69113	5/17/2017		19	No Violations					х														Alleged unlicensed activity
69114	5/17/2017	6/9/2017	23	No Violations					Х														Alleged unlicensed activty
68905	4/3/2017	6/5/2017	63	No Violations														х					Recorded NOI on relatives property
68900	4/4/2017	6/5/2017	62	No Violations					х														Alleged unlicensed activity
68342	12/29/2017	6/26/2017	-112	opened E-Case																			Unlicensed activity, no office in utah
######	6/1/2017	6/1/2017	0	No Violations					х														Alleged unlicensed activity
69216	6/5/2017	6/5/2017	0	company paid claim															Х				Unfair claims handling
69262	6/13/2017	6/14/2017	1	No Violations					x														Alleged unlicensed activity
69263	6/13/2017	6/14/2017	1	No Violations					Х														Alleged unlicensed activity
69279	6/15/2017	6/23/2017	8	PriLOA														Х					cosonsored an event of a client
	6/15/2017			No violations														X					cosponsor an event of a client
	4/10/2017			No Violations														x					discrepency in legal
	07/05/207			No Violations														Х					Insured over NOI
+	7/10/2017			No Violations					Х														Alleged unlicensed activity
69419	7/10/2017	7/19/2017	9	NoViolations					X														Alleged unlicensed activity
	7/13/2017			No Violations										х									Escrowed funds not disbursed properly
68947	4/10/2017	7/27/2017	108	No Violations															,				

Page 6 9/7/2017

															``		•								
I Case #	Date Opened	Date Closed	Elapsed Time	Reason Closed	3 Artis	Di. Str.	edile's dudified i	Restretes Andread	Title Jake.	Underwriting of the State of th	d. San	character 31A-23	Soi Str. Soi	Dation Space	31 P. Rate ing	31 A 23 and 31 A 33 and 31 A 3	/ M. / 138	2. 18001ds Arrive	arboic Control	ed bus.	S. Infairfille	Procinces Annual Spiritor Spir	escion chair	CLOM	Alleged Violation
69378	7/5/2017	7/18/2017	13	No Violations																	Х				Discrepencies in legal
69418	7/10/2017	7/25/2017	15	No Violations						х															Alleged unlicensed activity
69419	7/10/2017	7/19/2017	9	No Violations						х															Alleged unlicensed activty
69406	7/8/17	8/16/17	39	No Violations										Х											Escrow funds not disbursed properly
69470	7/19/17	8/16/17	28	No Violations										х											Escrow funds not disbursed properly
69293	6/19/17	9/6/17	79	PriLOA																			х		Desktop Audit

Page 7 9/7/2017

Title Insurance Investigations Open/Closed

	Opened	Closed		Opened	Closed	
January	6		January	6	19	January
February	5		February	2	6	February
March	14	13	March	11	7	March
April	22	26	April	11	5	April
May	1	11	May	3	4	May
June	2	11	June	21	6	June
July	0	4	July	11	18	July
August	8	6	August	21	25	August
September	11		September	6		September
October	12		October	18		October
November	5	10	November	22		November
December	9		December	6		December
Totals for 2011	95	125	Totals for 2014	138	124	Totals for 2017
January	15	9	January	1	17	
February	15	13	February	10	15	
March	12	15	March	1	1	
April	8	3	April	9	10	
May	20	21	May	8	_	
June	13		June	11	11	
July	17	17	July	1	0	
August	17	10	August	13	14	
September	3		September	5	3	
October	5	17	October	8		
November	20	12	November	9		
December	13		December	10	8	
Totals for 2012	158		Totals for 2015	86	101	
January	2	10	January	6	8	
February	15		February	8	9	
March	16	19	March	3		
April	5	7	April	6		
May	5		May	12	15	
June	13	4	June	1	6	
July	8	15	July	10	0	
August	3	4	August	3	6	
September	10	13	September	5		
October	11	9	October	4		
November	20	11	November	7	9	
December	14		December	13		
Totals for 2013	122	118	Totals for 2016	78	83	

Page 8 9/7/2017

Opened Closed

Title Insurance Consumer Complaints Open and Closed Consumer Complaint Summary Report

Case #	Date Open	Date closed	Complaint	CSA	Results
58109	1/25/2010	2/25/2010	HO premium not paid at closing	Meldee	JUSTIFIED
			title complaint, feels title company didn't uncover/disclose hazards for clear title and sale of home - flood insurance		
58202	2/22/2010	2/22/2010	requirements	Sandy	UNJUSTIFIED
58434	5/19/2010		Condo Ins not pd at closing	Meldee	UNJUSTIFIED
58624	8/10/2010	8/10/2010	Mechanicsliens recordered on property after closing	Sandy	Withdrawn
58832	11/8/2010	11/16/2010	Title agency did not the seller's delinquent dues paid	Meldee	UNJUSTIFIED
58852	11/15/2010	2/9/2011	Lender complaint. Trust deed not recorded	Meldee	UNJUSTIFIED
58865	11/18/2010	12/9/2010	not supplying title ins or deed to the property	Sandy	UNJUSTIFIED
59045	1/3/2011		Liens were not cleared at closing	Sandy	UNJUSTIFIED
59067	1/6/2011	2/9/2011	Trust Deed not recorded	Meldee	UNJUSTIFIED
59162	2/1/2011	2/1/2011	Property not recorded properly by agent	Meldee	JUSTIFIED
59272	3/7/2011	4/26/2011	title complaint	Meldee	UNJUSTIFIED
60778	4/20/2012	6/12/2012	Claim Delay	Meldee	UNJUSTIFIED
			title co. issued deed conveying property which had leaglly		
62236	5/1/2013	7/25/2013	passed to complainant without notice.	Tammy	Info Not Rec'd
					No Jurisdiction on
					Title Co, Insurer
63398	3/7/2014	4/30/2014	Liens not cleared	Tammy	position upheld
63660	4/29/14	4/30/14	Failure to release Funds in Escrow	Tammy	UNJUSTIFIED
63833	6/9/2014	8/7/2014	Alleged overchging of fees	Tammy	UNJUSTIFIED
					Consumer received
64471	10/9/2014	11/5/2014	Did not receive copies of closing docs.	Tammy	copies
			Alleged abusive tactics by title agency owner, fiduciary	_	
65362	5/5/2015	6/10/2015	ISSUES	Tammy	UNJUSTIFIED
	- / / / / / -		Alleged Fiduciary Violation by releaseing funds held in	_	Insufficient Info,
65518	6/11/2015	6/17/2015	escrow without authorization	Tammy	lack of jurisdiction
65595	6/30/2015	7/1/2015	Claim made on damage to home \$7000, actual repair \$150, Deductible \$550	Tammy	No Jurisdiction
00090	0/30/2013	7/1/2013	Alleged falsification in the recordation of documents with	Ганні	NO Julisalction
			and for the Millard County recorder's office and its		Insufficient
65707	7/28/2015	10/30/2015	employees.	Tammy	information
66220	11/23/2015	12/16/2015	Unclear title issues	Tammy	UNJUSTIFIED
66324	12/11/2015	12/1615	Commission questions	Tammy	Question of Fact
66758	2/19/2016	4/15/2016	Right of Way issues	Tammy	Company upheld
66968	3/22/2016	4/28/2016	Cloud on Title	Tammy	No Violation
67090	4/15/2016	4/28/2016	Alleged Fraud/Forgery	Tammy	No Jurisdiction
67343	6/15/2016	8/2/2016	Possible Recording Error	Tammy	Insufficient Info
67390	6/24/2016	6/30/2016	Fraud by borrower in a real estate transaction	Tammy	No Jurisdiction
67671	8/23/2016	2/14/2017	Balance of funds taken without authority	Adam	Settled in court
69704	9/3/2017		Failure to Record	Adam	

Page 9 9/7/2017

Title Insurance Consumer

Open and Closed Per Month Report

			Sport	Onon	Classa
lancia	Open	Closed	la a veri	Open	Closed
January	2		January	0	0
February	1		February	0	0
March	1		March	0	0
April	0		April	0	0
May	0		May	1	0
June	0		June	2	1
July	0		July	1	1
August	0		August	0	0
September	0		September	0	0
October	0		October	0	1
November	0	0	November	2	2
December	0	0	December	2	3
Total 2011	4	3	Total 2015	8	8
January	0	0	January	0	0
February	0	0	February	1	0
March	0		March	2	0
April	1		April	1	2
May	0		May	0	0
June	0		June	2	<u>.</u> 1
July	0		July	0	0
August	0		August	1	1
September	0		September	0	0
October	0		October	0	<u> </u>
November	0		November	0	0
December	0		December	0	0
Total 2012	1		Total 2016	7	4
January	0		January	0	<u> </u>
February	0		February	0	1
March	0		March	0	0
	0			0	
April	1		April		<u>0</u> 0
May			May	0	
June	0		June	0	0
July	0		July	0	0
August	0		August	1	0
September	0		September		
October	0		October		
November	0		November		
December	0		December		
Total 2013	1	1	Total 2017	1	1
January	0	1	-		
February	0	5			
March	1	0			
April	1	2			
May	0	0			
June	0	1			
July	0	0			
August	0	1			
	0	0			
September	0.		ł		
September October	1				
October	1	0			
	-				

Page 10 9/7/2017

Title Insurance Enforcement Report

E-Case #	Date Opened	Recommended Action	Repeat Offense	Prior Offense		Action Date	Closed Date
_ 3.00 #	Sponed	TOTOLINION AUTON			Notice sent	4/26/2011	
2853	4/20/11	Informal Action			Request for Pmt	10/4/2011	
					Invoice Pd	10/20/2011	10/20/2011
					Notice Sent	4/26/2011	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
2854	4/20/11	Informal Action					
					\$750 Pd		5/25/2011
					Notice sent	4/26/2011	0,20,20
2855	4/20/11	Dismissal			At AGs for Dismissal	11/15/2011	
2000	., 25,	Bioimodai			Order to Dismiss drafted	1/10/2012	2/29/2012
					Notice sent	4/26/2011	
2856	4/20/11	Informal Action				.,_,,_,	
	.,_0,				\$750 Pd		5/25/2011
					Notice sent	4/20/2011	
2857	4/20/11	Informal Action			Dismissed	5/26/2011	
2007	4/20/11	inionnai 7 totion				1 0/20/2011	5/26/2011
					Notice sent	4/26/2011	
2858	4/20/11	Surrender in Lieu			Hearing set 6/14/2011	5/24/2011	
2000	1/20/11	Carrondor III Llou			Signed Surr in Lieu	1/24/2012	2/29/2012
					Notice sent	4/26/2011	2/29/2012
2859	4/20/11	Informal Action			Company out of business 8/23/2010	1,20,2011	
2000	1/20/11	inionnai / totion			Out of Business	11/3/2011	11/3/2011
					To Gale	5/2/2011	11/3/2011
2874	5/2/11	Order to Show Cause			S&O drafted	6/1/2011	
2014	3/2/11	Order to onlow dause			Signed Surrender in Lieu Received	5/29/2012	5/29/2012
					To Gale	5/2/2011	3/23/2012
2875	5/2/11	Stip & Order			Invoice for \$500 pd	9/19/2011	
2013	3/2/11	\$1,500			Last Pmt received \$500	12/19/2011	12/19/2011
		φ1,500			To Gale for C&D and Subpoena	9/21/2011	12/19/2011
0040	0/04/44	Occasional Design			·		
3010	9/21/11	Cease and Desist			Subpeona mailed	3/15/2012	
					Closed/Opened erroniously	8/1/2012	8/1/2012
					To Gale	9/26/2011	
3015	9/26/11	Complaint			S&O sent	3/26/2013	
		\$100,000 & \$3750			Forfeiture Pd	4/8/2013	
					To Gale	10/24/2011	
3024	10/21/11	Revocation			Pre-hearing scheduled	3/14/2012	
					License Revoked	4/9/2012	
					To Gale	10/18/2011	
3030	10/18/11	Stip & Order			S&O Drafted & Mailed	12/27/2011	
		\$5,000			Invoice \$5000	1/10/2012	1/30/2012
					To Gale	11/15/2011	
3057	10/18/11	Stip & Order			Pleading sent	1/13/2012	
		\$1,500			Invoice for \$1500 pd	1/23/2012	1/30/2012
					Informal for \$500/2 yr probation	1/24/2012	
3074	12/19/11	Informal Action			Resent notice	2/24/2012	
		\$500			Pmt made	6/12/2012	6/12/2012
0.45					To Gale	3/13/2012	
3101	2/6/12	Revocation			Approved TOF	F/4.4/00.40	F/4 4/0040
					Approved T&E	5/14/2012	
2112	2/40/40	NON Dag			To Gale	3/13/2012	
3113	3/12/12	NON-Renew			Closed	9/14/2012	9/14/2012
2115	0/00/40	Otion and Onder			To Gale	3/27/2012	
3115	3/23/12	Stip and Order			Req Order to Show Cause	6/7/2012	
		\$5,000			Forfeiture Pd	2/13/2013	
0445	4/0/	04.00.			To Gale	4/2/2012	
3116	4/2/12	Stip & Order			Mailed S&O	5/23/2012	
	I	\$15,000	Ī	1	Signed and Pd	7/9/2012	7/9/2012

E-Case #	Date Opened	Recommended Action	Repeat Offense	Prior Offense			Closed Date
					To Gale	4/9/2012	
3119	4/9/12	Stip & Order			Received signed S&O	5/21/2012	
		\$1,500			Forfeiture pd	7/2/2012	7/2/2012 AM
					Draft Pleading to AG	4/26/2012	
3128	4/26/12	Informal Order			Dock Dockel #4500	5/04/0040	5/04/0040 TO
		\$1,500			Pmt Rec'd \$1500	5/21/2012	5/21/2012 TG
0400	4/00/40	Leftere al Ouden			Draft Pleading to AG	4/26/2012	
3129	4/26/12	Informal Order			Order/Inv sent Forfeiture Paid	5/7/2012	C/4.0/0040 TO
		\$1,500				6/18/2012 4/26/2012	6/18/2012 TG
2420	4/06/40	Order To Diamica			Draft Pleading to AG Order/Inv sent		
3130	4/26/12	Order To Dismiss			New Order sent to new address	5/7/2012 11/1/2012	11/1/2012 TG
					Draft Pleading to AG	4/26/2012	11/1/2012 1G
2424	4/00/40	Diamina OTCC			<u> </u>	6/10/2012	
3131	4/26/12	Dismiss OTSC			Hearing set 7/09/2012 Sent Dismissal Order		40/20/2042 TC
					Draft Pleading to AG	10/29/2012 4/26/2012	10/29/2012 TG
0400	4/00/40	lufa usa al Ousla u			Order/Inv sent		
3132	4/26/12	Informal Order			Forfeiture Paid	5/7/2012	C/44/2042 TC
		\$1,500				6/11/2012 4/26/2012	6/11/2012 TG
3133	4/26/12	Informal Order			Draft Pleading to AG	4/26/2012	
3133	4/20/12	\$1,500			Pmt Rec'd \$1500	5/7/2012	5/7/2012 TG
		Ψ1,000			Draft Pleading to AG	4/26/2012	0/1/2012
3134	4/26/12	Informal Order			Order/Inv sent	5/7/2012	
3134	4/20/12	\$1,500			Motion to Dismiss	7/9/2012	7/9/2012 TG
		ψ1,500			Draft Pleading to AG	4/26/2012	11912012 10
3135	4/26/12	Informal Order			Order/Inv sent	5/7/2012	
3133	4/20/12	\$1,500			Pymt Rec'd \$1500	5/30/2012	5/30/2012 TG
		φ1,500			Stip & O sent	5/30/2012	3/30/2012 13
3143	5/8/12	Stip & Order			Stip & O rec'd	6/11/2012	
3143	5/6/12	\$1,500			Forfeiture Pd	7/16/2012	7/16/2012
		Φ1,500			To Gale	6/18/2012	7/10/2012 AM
3179	6/18/12	Stip & Order			Rec'd signed S&O	7/18/2012	Alvi
3179	0/10/12	· ·			Forf. Pd	8/13/2012	8/13/2012
		\$1,500			S&O sent for signature	7/10/2012	6/13/2012 AM
3180	6/18/12	Stip & Order			Called on S&O	8/2/2012	Alvi
3100	0/10/12	\$3,000			Forf. Pd	9/11/2012	9/11/2012
		Φ 3,000			To Gale	7/18/2012	9/11/2012
3193	7/18/12	Stip & Order			Sched. for Title Meeting	9/10/2012	
3193	7/10/12	\$1,500			Forf. Paid	10/16/2012	10/16/2012
		Ψ1,500			To Gale	7/30/2012	10/10/2012
3195	7/30/12	Stip & Order			Invoice sent	9/10/2012	
0100	1700/12	\$1,500			Forfeiture Pd	10/16/2012	10/16/2012
		Ψ1,000			Draft Pleading to AG	8/22/2012	TG
3213	8/22/12	Order to Dismiss			Order/Inv sent	8/27/2012	
0210	0/22/12	Order to Diamiles			Sent Order to Dismiss	10/18/2012	10/18/2012
					Complaint mailed	10/29/2012	TG
3216	9/11/12	Revocation			Pre-hearing held	12/18/2012	
	0, 1, 1, 1	Request			License Revoked	12/31/2012	12/31/2012
		•			Drafting Order	9/20/2012	TG
3219	9/20/12	OTSC Hearing			Non-Appearance for Hearing	6/11/2013	
		\$3,750			License Revoked	7/8/2013	7/16/2013
					Drafting Order	9/20/2012	TG
3220	9/20/12	Informal Order			Informal Order mailed	2/19/2013	
		\$1,750			Fofeiture Pd	3/15/2013	3/15/2013
2000	40/0/40	C4:n 0 O			Poold signed COC	40/20/2040	AM
3223	10/2/12	Stip & Order			Rec'd signed S&O	10/30/2012	40/4/0040
		\$1,500			Forfeiture \$1500 Pd	11/27/2012	12/4/2012 AM
2227	11/10/10	Stin 9 Order			Gave Report to Gale	11/13/2012	AIVI
3237	11/13/12	Stip & Order			Received signed S&O	3/21/2013	4/04/0040
		\$2,500			Forfeiture Pd	4/19/2013	4/24/2013

-Case #	Date Opened	Recommended Action	Repeat Offense	Prior Offense			losed Date	
					Gave Report to Gale	11/26/2012	A	
3241	11/26/12	Stip & Order			Request for Payment	3/18/2013		
		\$1,500			Pd \$1500	3/20/2013	3/20/2013	
					Gave Report to Gale	11/26/2012	A	
3242	11/26/12	Stip & Order			S&O sent	2/21/2013		
		Revocation			License Revoked	4/10/2013	4/22/2013	
					Gave Report to Gale	1/8/2013	A	
3257	1/8/13	Stip and Order			Invoice Sent	3/14/2013		
		\$1,500			Case Closed Forfeiture Pd	4/22/2013	4/22/2013	
					S&O sent	3/19/2013	A	
3267	3/5/13	Stip and Order			Received S&O	3/25/2013		
		\$4,500			Invoice Paid	5/2/2013	5/7/2013	
							A	
3268	3/5/13	Stip and Order			S&O and Invoice sent	4/8/2013		
		\$1,000			Pd \$1000	4/25/2013	4/25/2013	
					S&O and Invoice sent	4/8/2013	A	
3270	3/8/13	Stip and Order			Scheduled mo. payment \$500 rec'd	5/15/2013		
		\$1,500			Forfeiture PD \$1000	6/27/2013	7/1/2013	
					Sent Stip & Order	5/7/2013	A	
3291	4/15/13	Stip and Order			Rec'd Stip & Order	5/20/2013		
		\$1,000			Forfieture Pd \$1000	8/6/2013	8/6/2013	
		. ,			Sent Stip & Order	5/7/2013	A	
3292	4/15/13	Stip and Order			Rec'd Stip & Order	5/20/2013		
		\$500			Final Pmt made	10/7/2013	10/7/2013	
		4000			Stip & Order Sent	5/20/2013	A	
3315	5/7/13	Stip and Order			Signed Stip & Order sent	6/10/2013		
	0,7,7,10	\$1,500			Forfieture PD \$1500	7/22/2013	8/6/2013	
		\$1,500	Ψ1,300			Stip & Order Sent	5/20/2013	P
3316	5/7/13	Stip and Order \$1,500			Signed S&O and Invoice sent	6/4/2013	,	
3310	3/1/13				Forfieture PD \$1500	7/22/2013	8/6/2013	
					Stip & Order Sent	6/11/2013	0/0/2019	
3322	5/20/13	Stip and Order			Signed S&O and Invoice sent	6/24/2013	,	
3322	5/20/13	Stip and Order			Forfieture PD \$1500	7/25/2013	7/25/2013	
		\$1,500			Stip & Order Sent	6/11/2013	7723/2013 A	
2222	E/20/42	Ctin and Order					P	
3323	5/20/13	Stip and Order \$2,500	•			Signed S&O and Invoice sent	6/24/2013	7/05/0040
					Forfieture PD \$2500	7/25/2013	7/25/2013 T	
0054	7/00/40	0 1 1			Hearing set 12/9/13	10/7/2013		
3354	7/30/13	Complaint			S&O were not agreed to by T&E	12/9/2013	5/00/0044	
		License Surrendered			License Surrendered	2/28/2014	5/30/2014	
	- /- / -				Informal sent	10/25/2013	T	
3356	8/6/13	Informal Order			Invoice pmt req	1/9/2014	2/2/22	
		Surrender in Lieu			Surrender in Lieu	9/5/2014	9/5/2014	
					to AAG	10/18/2013		
3365	8/22/13	Complaint			Complaint/hearing set for 1/21/2014	12/10/2013		
		Revocation			Default Revocation	3/7/2014	3/7/2014	
					Accepted offer of S&O	10/2/2013		
3366	8/22/13	Stip and Order			Received signed S&O	1/13/2014		
		Revocation			Completed S&O Mailed	2/10/2014	2/10/2014	
			To AAG	10/17/2013				
3367	8/26/13	Complaint			Case Closed Renewal EIN fixed	1/7/2014		
		No Action				1/7/2014	1/7/2014	
					Offer lettter sent	9/5/2013		
3375	9/5/13	Stipulation & Order			S&O being redrafted by AAG	2/27/2014		
		\$73,000			Fine Rec'd	5/30/3014	5/30/2014	
		,			Response to offer Rec'd	11/20/2013	T	
3376	9/5/13	Stpulation and Order			To AAG	7/3/2014		
	3,3,10	\$225,500			Forfeiture PD \$225,500	9/29/2014	9/29/2014	
+		Ψ220,000			To AAG	10/31/2013	9/29/2014	
3395	10/30/13	Stip & Order			S&O sent	11/25/2013		

E-Case #	Date Opened	Recommended Action	Repeat Offense	Prior Offense			Closed Date
					To AAG	11/12/2013	A
3404	11/12/13	Stip & Order			S&O sent	11/25/2013	
		\$1,500			For. Pd	2/11/2014	2/11/2014
					To AAG	11/14/2013	
3405 11/13	11/13/13	Stip & Order			S&O with Invoice sent	1/13/2014	
		\$1,500			Forfeiture PD \$1000	1/27/2014	2/3/2014
					To AAG	11/14/2013	
3406	11/19/13	Stip & Order			S&O sent	12/13/2013	
		\$1,000			Fine Pd	12/20/2013	12/20/2013
					To AAG	11/14/2013	
3407	11/19/13	Informal Order			Informal order sent	11/26/2013	
		\$1,000			Fine Pd	12/12/2013	12/12/2013
					To AAG	11/14/2013	Т
3408	11/19/13	Informal Order			Informal order sent	11/26/2013	40/04/0040
		\$6,500			License surrendered	12/31/2013	12/31/2013
0.400	4.4.4.0.4.0				To AAG	11/14/2013	
3409	11/19/13	Informal Order			Informal order sent	11/26/2013	40/40/0040
		\$1,000			Fine Pd	12/12/2013	12/12/2013
0.440	44/40/40				To AAG	11/14/2013	
3410	11/19/13	Informal Order			Informal order sent	11/26/2013	40/40/0040
		\$1,000			Fine Pd	12/13/2013	12/13/2013
0.444	44/40/40	Leftere al Ouden			To AAG	11/14/2013	
3411	11/19/13	Informal Order			Informal order sent	11/26/2013	40/47/0040
		\$1,000			Fine Pd	12/17/2013	12/17/2013
0.400	40/00/40	000 0 0 1 0 0			To AAG	12/31/2013	
3430	12/30/13	Stip & Order			S&O sent	2/26/2014	4/22/2044
		\$1,500			Fine Paid	4/22/2014	4/22/2014
					To AAG	12/31/2013	
3431	12/30/13	Stip & Order			S&O sent	2/27/2014	
		\$1,500			Forfeiture PD \$1500	5/8/2014	5/8/2014
					To AAG	1/30/2014	
3445	1/29/14	Informal Order			S&O sent w/invoice	4/14/2014	
		\$250			Fine Paid	4/22/2014	4/22/2014
					To AAG	2/24/2014	
3453	2/24/14	Stip & Order					
		\$1,500			Forfeiture PD \$1500	5/14/2014	5/14/2014
		ψ1,000			To AAG	2/24/2014	6/ 1 1/20 T T
3454	2/24/14	Stip & Order			Stip & Order sent w/invoice	4/14/2014	
3434	2/24/14	· ·			Forfeiture PD \$3000	5/14/2014	5/14/2014
		\$3,000					5/14/2014
2455	2/25/14	Ctip & Order			To AAG Before T&E 6/9/2014	2/25/2014 6/9/2014	
3455	2/25/14	Stip & Order \$500			Forfeiture Pd	7/1/2014	7/1/2014
		φουσ					7/1/2014
0.450	0/44/44	00 - 0 0 - 1 - 0			To AAG	3/17/2014	
3458	3/11/14	Stip & Order			Request for Pmt sent	7/22/2014	=/0.0/4.4
		\$1,500			Forfieture PD \$1500	7/30/2014	7/30/14
					To AAG	3/25/2014	
3465	3/24/14	Stip & Order			S&O sent with Invoice	6/13/2014	
		\$1,500			Forfeiture Pd	6/20/2014	6/20/2014
				Actions 2002,	To AG for drafting	1/30/2015	
3501	5/28/14	Stip & Order	Yes, 2002	and 2012	Stip and O and Invoice mailed	4/13/2015	T
		\$22,750			Forfieture Paid	4/23/2015	4/23/2015
					Report to AG's	7/1/2014	
3511	7/1/14	Stip & Order			Stip & O Mailed	9/8/2014	
		\$5,000			Forfeiture PD \$5000	9/19/2014	9/19/2014
					To AG's for drafting	7/1/2014	
3512	7/1/14	Stip & Order			Stip & O and Inv. Mailed	10/20/2014	
		\$1,500			Forfeiture PD	10/30/2014	10/30/2014
		. ,			\$1500 Forfeiture PD	11/3/2014	
3526	8/28/14	Stip & Order			\$3000 Forfeiture PD	11/24/2014	A
-				Ī			

E-Case #	Date Opened	Recommended Action	Repeat Offense	Prior Offense			losed Date
		Informal Order			Drafted Pleading	8/28/2014	
3527	8/28/14	\$1,000			Mailed IO	8/29/2014	
					Forfeiture Pd	9/12/2014	9/12/2014
		Informal Order			Drafted Pleading	8/29/2014	
3528	8/28/14	\$3,000			Mailed IO	8/28/2014	
					Forfeiture PD	10/28/2014	10/28/2014
3533	9/5/14	2 counts Unlawful Dealing of Property by Fiduciary ((F2)(felony2) and F3), 1 count Communications Fraud (F2); warrant issued			Informal Sent/Returned Informal Sent/Returned Warrant lissued	9/5/2014 9/11/2014 2/25/2015	TG 10/6/2014
							10/6/2014
2524	0/0/4.4	Chin 9 Ordon			To AG's for drafting	9/9/2014	
3534	9/9/14	Stip & Order			Stip & Order/Inv. Mailed	10/20/2014 12/2/2014	12/2/2014
		\$1,500			Forfeiture Pd	9/9/2014	12/2/2014
3535	9/9/14	Stip & Order			To AG's for drafting Stip & Order/Inv. Mailed	10/20/2014	
3333	9/9/14	\$1,500			Forefeiture Pd	11/18/2014	11/18/2014
		\$1,500			To AG's for drafting	10/28/2014	AM
3547	10/28/14	Stip & Order			Invoice Sent	4/13/2015	Aivi
3547	10/26/14	\$32,500			Forfeiture Pd	4/13/2015	4/27/2015
		\$32,500		Yes		1/20/2015	4/21/2015
3575	1/20/15	Stip & Order	Yes, 2012	Multiple cases since 2001	To AG's for drafting Before T&E Forfeiture Paid	2/9/2015 3/9/2015	3/10/2015
		\$3,000		1 1			
0570	4/04/45	Denial of License	NA	Judgements	To AG's for hearing	1/22/2015	RO
3576	1/21/15			against	AG said license should be issued.	2/5/2015	0/5/0045
				Primary	Case closed	2/5/2015	2/5/2015
	4/00/4=				Informal sent	1/27/2015	TG
3578	1/23/15	Informal Order	No		#4000 F (); PD	0/0/0045	0/0/0045
		\$1,000			\$1000 Forfeiture PD	2/3/2015	2/3/2015
	4/00/4=				Sent to AG for drafting	1/29/2015	TG
3580	1/29/15	Stip and Order	No		Approved T&E	4/0/0045	4/0/0045
		\$1,500			Pmts received	4/3/2015	4/3/2015
0500	0/47/45				Sent to AG for drafting	2/17/2015	
3588	2/17/15	Stip and Order	No		Mailed Signed S&O	3/19/2015	4/4/2045
		\$1,500			Forfeiture PD	4/1/2015	4/1/2015
0500	0/47/45	Chin and Order	No		Sent to AG for drafting	2/17/2015	
3589	2/17/15	Stip and Order	INO		S&O mailed with Invoice	3/19/2015	4/4/0045
		\$750		0000 0005	Forfeiture PD	4/1/2015	4/1/2015
3597	3/5/15	Surrender in Lieu	Yes 2008	2003. 2005 2009, 2012	Informal Sent/Invoiced Surrender in Lieu Received	3/6/2015 6/15/2015	6/30/2015
		+			Informal Sent/Invoiced	3/6/2015	0/30/2013
3598	3/5/15	Informal Order	No		Informal Sent/Invoiced	3/6/2013	
3390	3/3/13	\$500	INO		Forfeiture PD	4/16/2015	4/16/2015
		φ300			To AG's for drafting	3/31/2015	4/10/2013
3604	3/30/15	Stip and Order	No		Signed for Title and Escrow Mtg	4/15/2015	
3004	3/30/13	\$2,500	INO		Forfeiture PD	6/2/2015	6/2/2015
		\$1,000			I dictare i B	0/2/2013	0/2/2013
3624	6/9/15	Public Letter of	No		Informal Action mailed	6/11/2015	
5024	0/3/13	Admonition	INO		Forfeiture PD	6/24/2015	6/24/2015
		Aumonidon			To AG's for drafting	6/11/2015	5/27/2010
3625	6/9/15	Stip and Order	No		Recieved signed S&O	9/1/2015	
JU20	0/3/10	\$7,500	INU		Final Payment made	11/30/2015	11/30/2015
		\$1,500		2002, 2010	To AG's for drafting	8/4/2015	11/30/2013
3647	8/4/15	Stip and Order	No	2002, 2010	Recieved signed S&O	8/25/2015	
JU41	0/4/10	Sup and Older	INU		Forfeiture PD	9/21/2015	9/21/2015
		\$1,500			To AG's for drafting	8/4/2015	5/2 1/2010
3648	8/4/15	Stip and Order	No		Recieved signed S&O	8/18/2015	
JU40	0/4/13	Sup and Order	INU		Forfeiture PD	10/6/2015	10/6/2015
		l		İ	i oneitale i D	10/0/2013	10/0/2013

	Date Opened	Recommended Action	Repeat Offense	Prior Offense			Closed Date
3730	2/19/16	Default Order	NO		Default Order T&E Committee	4/21/2016	
					Default Revocation mailed		5/17/2016
		\$6,500			Informal mailed	2/25/2016	
3731	2/22/16	Informal Order			Pd Invoice	3/7/2016	
							3/7/2016
		\$3,000			Stip and Order sent to AG	3/14/2016	
3742	3/14/16	Stip and Order	yes		Concurred by T&E/Stip&Order sent	4/11/2016	
					Pd Forfeiture	5/16/2016	5/26/2016
		\$1,500			To ALJ		-
3753	3/21/16	Informal Order	yes	2014	Informal sent with invoice	3/28/2016	
			·		Payment Rec'd	4/26/2016	4/26/2016
					Offer Letter sent	7/20/2016	-
3774	5/9/16	\$500	No		Stip & O to AG	8/12/2016	
		·			Pd Forfeiture	9/27/2016	9/27/2016
		\$1000 + \$375			Informal Mailed	5/13/2016	
3776	5/13/16	Informal Order	No			5, 15, 211	-
	5, 75, 75				Closed Forfeiture PD	6/27/2016	6/30/2016
		\$1,000			Informal Mailed	5/13/2016	
3777	5/13/16	Informal Order	Yes	2014	Paid	5/24/2016	
	5, 15, 10	24 Mos Probation	165	2014		3,2 1,20 10	5/24/2016
		\$2750 & \$375			Informal Mailed	5/13/2016	5.2 20 . 0
3778	5/13/16	Informal Order	Yes	2013, 2014	Surrender in Lieu requested	5/23/2016	
0110	3/13/10	24 Mo Probation	165	2013, 2014	Surrender in Lieu signed	0/20/2010	6/6/2016
		\$1,000			Informal Mailed	5/23/2016	0/0/2010
3779	5/13/16	Informal Order	No		Withdrew action	6/20/2016	
3113	3/13/10				Withdrew action	0/20/2010	6/20/2016
		\$1,000			Informal Mailed	5/23/2016	0/20/2010
3780	5/13/16	\$1,000 Informal Order	No		IIIIOIIIIai ivialled	3/23/2010	
3700			No		Closed Forfeiture PD	6/28/2016	6/30/2016
		¢4 000			Informal Mailed	6/15/2016	0/30/2010
2700	6/14/16	\$1,000 Informal Order	No		informat Malled	0/13/2010	
3788							8/2/2016
		000 000/04 Ma Duahatian			Coat to ACo for Commission	0/4/0040	6/2/2016
0000	8/31/16	\$20,000/24 Mo Probation Findings of Fact	No		Sent to AGs for Complaint	9/1/2016	
3822					Invoice Mailed	7/3/2017	7/42/2047
		Conclusion of Law & order			Payment Rec'd	7/13/2017	7/13/2017
0000	0/04/40	Osim D. Om Land	A.L.		Conference by phone	9/6/2017	
3823	8/31/16	Stip & Order	No				
		.				2/2/22/2	
	- /- / -	\$1,500			Sent to AGs for Drafting	9/6/2016	
3823	9/6/16	Stip and Order	No		Invoice mailed	10/17/2016	40/4/0040
					Second Req for pmt	12/1/2016	12/1/2016
	9/6/16	\$1,500 Stip and Order	No		Sent to AGs for Drafting	9/6/2016	
3824					Invoice mailed	10/17/2016	40/15/55:5
					Payment Rec'd	12/15/2016	12/15/2016
		\$63,995			Sent to AGs for Drafting	12/19/2016	
3845	12/19/16	Stip and Order	No		Invoice Mailed	10/17/2016	
					Payment Rec'd	3/23/2017	3/23/2017
	3/14/17	\$3,000 Stip and Order	No		Sent to AGs for Drafting	3/14/2017	
3871					Invoice Mailed	4/3/2017	,
					Payment Rec'd	5/8/2017	5/8/2017
		\$2,000			Sent to AGs for Drafting	3/1/2017	
3866	3/1/17	Stip and Order	No		Invoive Mailed	4/3/2017	
-		<u> </u>			Payment Rec'd	4/26/2017	4/26/2017
		\$1,000			Sent to AG's for Drafting	3/16/2017	
3872	3/16/17	Stip and Order	No		Invoice Mailed	5/8/2017	
	I	·		1	Payment Rec'd	5/15/2017	5/15/2017